

#2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
36861-00002

JC828 U.S. PTO
10/07/02
02/12/02

In Re Application Of:

Brian Yolles

Serial No.
TBA

Filing Date
February 11, 2002

Examiner
TBA

Group Art Unit
TBA

Title:

METHOD AND SYSTEM FOR INSURING AGAINST INVESTMENT LOSS

#2

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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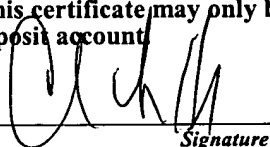
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Dated: February 11, 2002

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Docket Number (Optional)

36861-0000

Application Number

TBA

Applicant(s)

Brian Yolles

Filing Date

Group Art Unit

TBA

JC828 U.S. PTO
10/07/4659
02/12/02

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,704,045	12/30/1997	King et al.			
	2	6,134,536	10/17/2000	Shepherd			
	3	5,970,479	10/19/1999	Shepherd			
	4	6,113,493	09/05/2000	Walker et al.			
	5	6,119,093	09/12/2000	Walker et al.			
	6	6,128,598	10/03/2000	Walker et al.			
	7	5,884,274	03/16/1999	Walker et al.			
	8	6,064,985	05/16/2000	Anderson			
	9	6,049,772	04/11/2000	Payne et al.			
	10	5,974,390	10/26/1999	Ross			
	11	5,966,700	10/12/1999	Gould et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

